

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Applicant:

Richard D. Ferris

Serial No.:

10/765,106

Group Art Unit:

Filed

01/08/2004

Examiner:

Title

HANDLE CONFIGURATION FOR A PUTTER TYPE GOLF CLUB

Honorable Commissioner of Patents and Trademarks Washington, D. C. 20231

Sir:

The following list represents patents which qualify under 37 C.F.R §1.97 and §1.98 in satisfying applicant's duty of disclosure under 37 C.F.R. §1.56.

Applicant is aware of the following references listed on the attached PTO-1449. In accordance with the MPEP, we have not included copies of the cited references.

These references are, in the belief and opinion of the undersigned, a collection of the closest art of which the applicant is aware as of the date of execution of the declaration in the above-captioned application. None of these patents are felt to disclose or suggest the claimed invention.

Respectfully submitted,

John L. Welsh

Registration No. 33,621

WELSH & FLAXMAN LLC 2450 Crystal Drive, Ste. 112 Arlington, VA 22202 (703) 920-1122

Our Docket No. FERR-004



Sheet 1

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number 10/765,106 Filing Date 01/08/2004 INFORMATION DISCLOSURE First Named Inventor Richard D. Ferris STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number FERR-004

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	 	US- 740,500	10/1903	White	
		^{US-} 2,781,196	02/1957	Brandon	
	 	US- 3,109,653	11/1963	Biggs	
	1	^{US-} 3,459,429	08/1969	Sherwood	_
		^{US-} 3,574,349	04/1971	Kropp	
•		US- 3,782,725	01/1974	Giambazi	
<u>-</u>	<u> </u>	^{US-} 4,310,158	01/1982	Hoffman	
		^{US-} 4,426,083	01/1984	Dishner, Jr.	
		^{US-} 4,537,403	08/1985	Farina	
		^{US-} 4,746,120	05/1988	Mockovak	
		^{US-} 5,085,891	10/1991	Takeuchi	
		^{US-} 5,133,555	07/1992	Bailey	
		^{US-} 5,209,470	05/1993	Cimaoli et al.	
		^{US-} 5,209,474	05/1993	Voyer	
-		us- D355,011	01/1995	Subnick	
		^{US-} D355,444	02/1995	Deluca	
		^{US-} 5,398,934	03/1995	Soong	
		^{US-} 5,431,401	07/1995	Smith	
		^{US-} 5,460,372	10/1995	Cook	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	_	
						L	
* · · ·						Ľ	

						Γ	
						f	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for form 1449/PTO

Sheet 2

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10,765,106			
Filing Date	01/28/2004			
First Named Inventor	Richard D. Ferris			
Art Unit				
Examiner Name				
Attorney Docket Number	FERR-004			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,544,879	08/1996	Collins	
					
		^{US-} D377,070	12/1996	Gurrola	
		^{US-} 5,679,080	10/1997	Finsterwald	
		^{US-} 5,779,559	07/1998	Eberle	
		^{US-} 5,993,327	11/1999	Terril	
		^{US-} 6,110,054	08/2000	Rodarte	
		^{US-} 6,277,031	08/2001	Cheek et al.	
		^{US-} 6,296,577	10/2001	Trammell et al.	
		^{US-} 6,506,128	01/2003	Bloom, Jr.	
		^{US-} 6,511,386	01/2003	Cacicedo	
		US-			

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
	ļ					-
						上
-						

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.